

## Gregory Lisa

Partner

Washington, D.C.

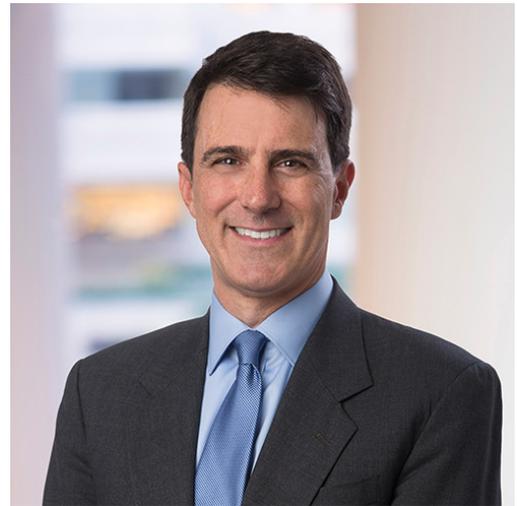
New York

### Biography

Gregory Lisa uses his extensive firsthand experience in anti-money laundering and consumer protection to help financial institution clients navigate the complex regulations and expectations of regulators, exam teams, and law enforcement agencies, civil and criminal. And if those investigations turn into enforcement actions or litigation, Greg draws on his background as a former prosecutor and enforcement attorney to defend his clients.

In addition to working with established financial institutions, such as banks and credit unions, casinos, and money services businesses, Greg also represents and counsels financial services innovators, including emerging payment and finance systems, virtual currency companies, and other new financial services companies, particularly in the FinTech space.

Before joining the firm, Greg was the Interim Director of the Office of Compliance and Enforcement at the Financial Crimes Enforcement Network (FinCEN), the Treasury Department's lead regulator for overseeing and enforcing anti-money laundering laws. During his tenure, he supervised and conducted various investigations, supervisory exams, and enforcement actions across FinCEN's broad jurisdiction, including large depository institutions, global securities firms,



### Phone

+1 202 637 3647 (Washington, D.C.)

+1 212 918 3644 (New York)

### Fax

+1 202 637 5910 (Washington, D.C.)

+1 212 918 3100 (New York)

### Email

[gregory.lisa@hoganlovells.com](mailto:gregory.lisa@hoganlovells.com)

---

### Practices

Financial Services

Gaming Law

Investigations, White Collar, and Fraud

International Trade and Investment

Banking and Finance Litigation

Blockchain

---

### Industries

Financial Institutions

---

casinos, cryptocurrency exchanges, and other institutions.

Prior to his work at FinCEN, Greg served in the Office of Enforcement at the Consumer Financial Protection Bureau (CFPB). As one of its earliest members, having joined before the actual formation of the CFPB, he helped to “stand up” the Bureau’s enforcement program. Before joining the Bureau, he served for 12 years in the U.S. Department of Justice, first in the Civil Division as a Trial Attorney, and then for almost ten years as a federal prosecutor in the Organized Crime Section, investigating and prosecuting financial crime cases.

## Representative experience

Counseling a foreign financial institution regarding applicability of U.S. anti-money laundering regulations to its business practices.

Defending the owner of a major cryptocurrency platform in an investigation brought by the Securities and Exchange Commission.

Advising a casino regarding compliance with the Bank Secrecy Act, know-your-customer requirements, and suspicious activity reporting requirements.

Representing a casino in an investigation by the Financial Crimes Enforcement Network (FinCEN).

Drafting key components of a casino's anti-money laundering compliance program and policies.

Advising a casino regarding a "look-back" involving potentially suspicious transactions.

Counseling a multinational manufacturer, with a presence on six continents, regarding trade-based money laundering and payments issues.

Representing an e-commerce company in responding to multiple regulatory inquiries relating to AML and

## Areas of focus

Anti-money Laundering

Financial Services Regulatory Investigations and Enforcement

FinTech

Consumer Finance Litigation

---

## Education and admissions

### Education

J.D., Georgetown University Law Center, cum laude, 1996

M.A., University of Pennsylvania, cum laude, 1993

B.A., Georgetown University, cum laude, 1990

---

## Memberships

Member, Advisory Committee of the Institute for Certified Gaming Industry Professionals

---

## Bar admissions and qualifications

District of Columbia

Maryland

New York

---

## Court admissions

U.S. Court of Appeals, Sixth Circuit

U.S. Court of Appeals, Ninth Circuit

money transmission regulatory compliance issues.

Advising a foreign private bank regarding anti-money laundering issues relating to its customers' source of funds.

Investigated and prosecuted the first civil enforcement action for Bank Secrecy Act violations ever brought against a virtual currency company.\*

Supervised every civil enforcement action brought by FinCEN for BSA violations against banks, securities broker/dealers, casinos, and other financial institutions.\*

\*Matter handled prior to joining Hogan Lovells.

## Awards and rankings

- Media, Technology, and Telecoms: Fintech, *Legal 500 US*, 2020

## Latest thinking and events

- News
  - Global Payments Newsletter, September 2020
- News
  - Global Payments Newsletter, August 2020
- News
  - Global Payments Newsletter, July 2020
- Insights
  - FATF reviews progress on the implementation of its standards on virtual assets and VASPs
- News
  - Crypto firm co-founder pleads guilty for \$25 million ICO fraud scheme
- News
  - Global Payments Newsletter, June 2020

U.S. District Court, District of Columbia

---

## Accolades

We have worked a lot with Gregory Lisa. He is always more than fair in his billing practices and his knowledge and expertise in the financial services area are superior to many other lawyers we have dealt with at other firms. Gregory Lisa's personality and interpersonal communication skills help set him apart from other lawyers we have dealt with. He has "pulled a rabbit out of the hat" on more than one occasion for us. I was truly amazed and surprised at what he accomplished.

*Legal 500 US 2020*

---