

## Stock Exchange Listings and Regulatory Compliance

Keeping up with stock exchange listing standards and other regulatory requirements applicable to public companies can be challenging. Knowing what you need to do, whether you can do it or not, and how to do it requires real know-how.

We've worked at and with regulators and listing authorities and can give unique and valuable insight. We've got a deep understanding of how the regulatory bodies in various jurisdictions work. We'll review forms and proxy statements for compliance with disclosure requirements. Navigate earnings releases and public statements. Accounting and financial disclosures. Insider trading policies. Issuer share repurchase programs. And corporate governance matters related to the stock exchanges, including director independence and stockholder approval requirements.

We provide insights that you can't get anywhere else.

### Representative experience

We advised Bank of America Merrill Lynch, Morgan Stanley, Wells Fargo Securities, and Deutsche Bank Securities on the high-profile US\$2.3bn IPO of Paramount Group.

We advised Jefferies and Canaccord Genuity Ltd on the London IPO of The Renewables Infrastructure Group Limited.

We advised Bankhaus Lampe and Citigroup on the €430m Frankfurt IPO of Hella KGaA Hueck & Co.

We advised UBS AG, DBS Asia Capital Limited, and CIMB

### Contacts

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### Practices

Securities and Public  
Company Advisory

Capital Markets

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Securities Limited on the US\$261m Hong Kong IPO and Rule 144A placing of Nirvana Asia Ltd.

## Latest thinking and events

Hogan Lovells Publications

SEC adopts rule amendments under the FAST Act to modernize and simplify disclosure

*SEC Update*

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Hong Kong's listing regime – The way forward